

Divisional & Operational Risks scored 9 and 12 to be reviewed by PSC 29 September 2009

Parent Risk Code & Title	Risk Code & Title	Status	Risk Score	Impact	Likelihood	Assigned To	Action Code & Title	Due Date	Current action status / comment
09-OR-ACE-01 Emergency Planning	09-OR-ACE-01-E Business continuity plans are not in place		12	3	4	Lisa Lipscombe	09-OR-ACE-01-E Regular review and revision of Business Continuity	31 Dec 2009	Business Continuity Plans currently under review. Reported to SMB 16/09/09, 3 revised plans still awaited. SMB to decide in the event of loss of all or part of the London Road building, once made we can then proceed on sourcing costs, etc. for a final decision to be made. However, as such a solution would be an integral part of the plan the plan cannot be properly finalised until that decision is taken.
09-OR-CE-02-D Museum	09-OR-CE-02-D-3 Insufficient storage/display facilities		12	3	4	Richard Auty	09-OR-CE-02-D-3 Building Heritage Quest Centre to replace current inadequate storage facilities at museum and Newport Stores	31 Dec 2010	Negotiations continue to establish best way to proceed with project. Plans are prepared for tender process, should one be required
09-OR-HM-02-08 Land & Property Management	09-OR-HM-02-0805 The Council is unable to meet the costs of external and structural repairs to Golds		12	4	3	Roz Millership	09-OR-HM-02-0805 Working towards reducing to a minimum all costs relating to Golds until the expiration of the lease in November 2009	30 Nov 2009	reviewed 15/09/09 - no change to likelihood or impact at present
09-OR-BS-01 Building Control Fees	09-OR-BS-01-6 Income derived from Building Control Fees does not cover the cost of the Service (requirement specified by Statutory Instrument 3129 (The Building Local Authority Charges Regulations 1998));		9	3	3	Keith Osborne	09-OR-BS-01-6 Full review of Fee Income following Govt revising of the Building Regulation charges legislation (effective April 2010)	31 Mar 2010	Implemented a number of operational changes to reduce the expenditure side of the annual Building Control Account which involve revising our areas and inspection regime to reduce business mileage, ceasing to send structural calculations for third party checking as a matter of course when submitted by qualified Structural Engineers carrying professional indemnity insurance and using more accurate time recording to ensure that non fee earning activities do not get included in the fee earning account.
09-OR-DC-05 Development of partnership working proposals to provide resilience and improve service quality within budget constraints	09-OR-DC-05-01 Tension between maintaining service and developing partnership proposals to meet future needs		9	3	3	Roger Harborough	09-OR-DC-05-01 Staffing levels being increased to match workload	30 Nov 2009	agency planner in post; additional principal planner joins early November; short term contract to be filled to cover sickness absence whilst member of staff is in hospital and recuperating from operation.
09-OR-HRFIN-02-G Procurement	09-OR-HRFIN-02G1 Inadequate capacity and expertise to manage procurement		9	3	3	Stephen Joyce	09-OR-HRFIN-02G1 Awaiting proposal from ECC procurement service	31 Mar 2010	Meanwhile, discussions held with Essex Procurement Hub (Braintree) to explore possible expansion of their services to the Council.
09-OR-HRFIN-02-G Procurement	09-OR-HRFIN-02G6 Lack of adequate procurement strategy		9	3	3	Stephen Joyce	09-OR-HRFIN-02G6 Awaiting proposal from ECC procurement service	31 Mar 2010	Meanwhile, discussions held with Essex Procurement Hub (Braintree) to explore possible expansion of their services to the Council.
09-OR-HRFIN-02-G Procurement	09-OR-HRFIN-02G7 Lack of adequate procurement support to "Strategic Solutions"		9	3	3	Stephen Joyce	09-OR-HRFIN-02G7 Awaiting proposal from ECC procurement service	31 Mar 2010	Meanwhile, discussions held with Essex Procurement Hub (Braintree) to explore possible expansion of their services to the Council.
09-OR-HRFIN-02-H Asset Management	09-OR-HRFIN-02H1 Inadequate capacity and expertise to manage asset base		9	3	3	Stephen Joyce	09-OR-HRFIN-02H1 Proposal from ECC received 14 September and reported to PSC on 29 September	31 Mar 2010	Proposal from ECC received 14 September and reported to PSC on 29 September.
09-OR-HRFIN-02-H Asset Management	09-OR-HRFIN-02H3 Lack of adequate Asset Management Strategy		9	3	3	Stephen Joyce	09-OR-HRFIN-02H3 Proposal from ECC received 14 September and reported to PSC on 29 September	31 Mar 2010	Proposal from ECC received 14 September and reported to PSC on 29 September.
09-OR-SS-03 Cemetery	09-OR-SS-03-05 Gravestones insecure		9	3	3	Barry Stansfield	09-OR-SS-03-05 Identify grave stones in immediate danger of falling and build action plan to make these safe	31 Dec 2009	Started identifying grave stones most at risk